



11-12-02  
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Docket No.: **337348020US4**  
 Date: November 7, 2002

In re application of: **Andrew D. Firlik et al.**  
 Application No.: **10/072,700**  
 Filed: **February 7, 2002**  
 For: **METHODS AND APPARATUS FOR EFFECTUATING A CHANGE  
 IN NEURAL-FUNCTION OF A PATIENT**

COMMISSIONER FOR PATENTS  
 WASHINGTON DC 20231

Sir:

Transmitted herewith is a supplemental preliminary amendment in the above-identified application.

- ☐ Applicant claims small entity status. See 37 C.F.R. 1.27.  
☒ Applicant has previously claimed small entity status. See 37 CFR 1.27.  
☐ A Petition for an Extension of Time for month is enclosed.  
☐ A General Authorization Under 37 C.F.R. § 1.136(a)(3) is enclosed.  
☐ No additional claim fee is required. ☒ The fee has been calculated as shown.

	(Col. 1)		(Col. 2)	(Col. 3)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST PREV. PAID FOR	PRESENT EXTRA
TOTAL	* 42	-	** 33	11
IND.	* 12	-	*** 11	1
[ ] FIRST PRESENTATION OF MULT. DEP. CLAIMS				
EXTENSION OF TIME FEE				
TOTAL ADDITIONAL FEE				

SMALL ENTITY	
RATE	ADDITIONAL FEE
x 9	\$ 99
x 42	\$ 42
+140	\$
	\$
	\$ 141

OTHER THAN A SMALL ENTITY	
RATE	ADDITIONAL FEE
x 18	\$
x 84	\$
+280	\$
	\$
	\$

OR

OR

TOTAL

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ Please charge my Deposit Account No. 50-0665 in the amount of \$\_. A duplicate copy of this sheet is enclosed.  
☒ A check in the amount of \$141 is attached.  
☒ The Commissioner is hereby authorized to charge payment of the following additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-0665. A duplicate copy of this sheet is enclosed.  
☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.  
☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,  
 PERKINS COIE LLP

*Paul T. Parker*  
 Paul T. Parker  
 Registration No. 38,264

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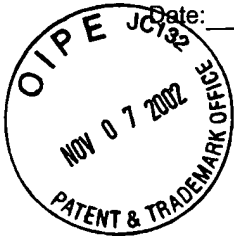
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TECHNOLOGY CENTER R3700

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I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as Express Mail No. EL669035045US in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231, on:



Date:

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By:

Melody J. Almberg

Melody J. Almberg

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Andrew D. Firlik *et al.*

APPLICATION NO.: 10/072,700

FILED: February 7, 2002

FOR: **METHODS AND APPARATUS FOR EFFECTUATING A CHANGE IN NEURAL-FUNCTION OF A PATIENT**

EXAMINER: Unknown

ART UNIT: 3762

CONF. NO: 2530

**RECEIVED**

NOV 14 2002

**Supplemental Preliminary Amendment**

TECHNOLOGY CENTER R3700

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please amend the subject application as follows:

**In the Claims:**

Please add new claims 34-42:

34. (New) A method of effectuating a neural-function in a patient, comprising:
- selecting a stimulation site comprising a region of the cortex of the patient where a change in neural-activity is expected to occur to carryout a function of the patient;
  - applying an electrical stimulation directly to the stimulation site using an electrode implanted in the patient at a location proximate to the cortex of the patient.

A/

11/13/2002 MMEKONEN 00000095 10072700

01 FC:2201  
02 FC:2202

42.00 OP  
99.00 OP